

FIRST CLASS CERTIFICATE OF MAILING

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on

8-17-00

Date of Deposit

Sharon M. Osofsky

Name of Person Mailing Correspondence

Sharon M. Osofsky

8-17-00

Signature

Date

Serial/Patent No.: 09/548,637

Filing/Issue Date: 4/13/00

Client: Dr. John R. Koza

Title: AN INVENTION MACHINE THAT AUTOMATICALLY CREATES NOVEL DESIGNS

BSTZ File No.: 50291 P009

Atty/Secty Initials: M.JM/SMO

Date Mailed: 8/17/00

Docket Due Date: 8/22/00

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

Amendment/Response (____ pgs.)

Express Mail No.: _____

Check No. 37108

Appeal Brief (____ pgs.) (in triplicate)

_____ Month(s) Extension of Time

Amt: \$130.00

Application - Utility (____ pgs., with cover and abstract)

Information Disclosure Statement & PTO-144 (____ pgs.)

Check No. _____

Application - Rule 1.53(b) Continuation (____ pgs.)

Issue Fee Transmittal

Amt: _____

Application - Rule 1.53(b) Divisional (____ pgs.)

Notice of Appeal

Application - Rule 1.53(b) CIP (____ pgs.)

Petition for Extension of Time

Application - Rule 1.53(d) CPA Transmittal (____ pgs.)

Petition for _____

Application - Design (____ pgs.)

Postcard

Application - PCT (____ pgs.)

Power of Attorney (____ pgs.)

Application - Provisional (____ pgs.)

Preliminary Amendment (____ pgs.)

Assignment and Cover Sheet

Reply Brief (____ pgs.)

Certificate of Mailing

Response to Notice of Missing Parts

Declaration & POA (15 pgs.) (Signed)

Small Entity Declaration for Indep. Inventor/Small Business

Disclosure Docs & Obj & Copy of Inventor's Signed Letter (____ pgs.)

Transmittal Letter, in duplicate

Drawings: _____ # of sheets includes _____ figures

Fee Transmittal, in duplicate

Other: Notice to File Missing Parts of Application
PTO Copy.

Declaration three sets enclosed.



FEE TRANSMITTAL FOR FY 2000

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete if Known:

Application No. 09/548,637Filing Date April 13, 2000First Named Inventor Dr. John R. Koza et al.Group Art Unit 2762Examiner Name Not AssignedAttorney Docket No. 50291.P009

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
 Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. Payment Enclosed:

Check
 Money Order
 Other

FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee	Fee	Fee	Fee
Code	(\$)	Code	(\$)
101	690	201	345
106	310	206	155
107	480	207	240
108	690	208	345
114	150	214	75
		Utility application filing fee	
		Design application filing fee	
		Plant filing fee	
		Reissue filing fee	
		Provisional application filing fee	

SUBTOTAL (1) \$ 02. EXTRA CLAIM FEES

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	<u>- 20** =</u>	<u>X</u>	<u>=</u>
Independent Claims	<u>- 3** =</u>	<u>X</u>	<u>=</u>
Multiple Dependent			

**Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>
Fee	Fee	Fee
Code	(\$)	Code
103	18	203
102	78	202
104	260	204
109	78	209
110	18	210
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**R issue ind pend nt claims ver riginal patent
		**R issue claims in excess f 20 and over riginal patent

SUBTOTAL (2) \$ 0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	Surcharge - late filing fee or oath 65
127	50	227	Surcharge - late provisional filing fee or cover sheet 25
139	130	139	Non-English specification 130
147	2,520	147	For filing a request for reexamination 2,520
112	920*	112	Requesting publication of SIR prior to Examiner action 920*
113	1,840*	113	Requesting publication of SIR after Examiner action 1,840*
115	110	215	Extension for response within first month 55
116	380	216	Extension for response within second month 190
117	870	217	Extension for response within third month 435
118	1,360	218	Extension for response within fourth month 680
128	1,850	228	Extension for response within fifth month 925
119	300	219	Notice of Appeal 150
120	300	220	Filing a brief in support of an appeal 150
121	260	221	Request for oral hearing 130
138	1,510	138	Petition to institute a public use proceeding 1,510
140	110	240	Petition to revive unavoidably abandoned application 55
141	1,210	241	Petition to revive unintentionally abandoned application 605
142	1,210	242	Utility issue fee (or reissue) 605
143	430	243	Design issue fee 215
144	580	244	Plant issue fee 290
122	130	122	Petitions to the Commissioner 130
123	50	123	Petitions related to provisional applications 50
126	240	126	Submission of Information Disclosure Stmt 240
581	40	581	Recording each patent assignment per property (times number of properties) 40
146	690	246	For filing a submission after final rejection (see 37 CFR 1.129(a)) 345
149	690	249	For each additional invention to be examined (see 37 CFR 1.129(a)) 345

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$ 130.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Michael J. Mallie

Signature  Date 5/17/00

Reg. Number 36,591 Deposit Account User ID 02-2666
(complete if applicable)



Attorney's Docket No.: 50291.P009

Sector

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dr. John R. Koza et al.

Application No.: 09/548,637

Filed: April 13, 2000

For: AN INVENTION MACHINE THAT AUTOMATICALLY
CREATES NOVEL DESIGNS

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
(FILING DATE GRANTED)**

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed June 22, 2000, please find enclosed:

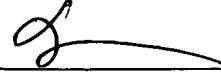
- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application;
- (4) a Petition for a month extension of time under 37 C.F.R. § 1.136(a); and
- (5) a check in the amount of _____ in payment of the extension of time fee.

If any additional fee is required, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 8/17/96



Michael J. Mallie
Reg. No. 36,591

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8598

FIRST CLASS CERTIFICATE OF MAILING

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on 8-17-00

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Name of Person Mailing Correspondence

Sharon M. Osofsky 8-17-00

Signature

Date

FORMALITIES LETTER



OC00000005193581

UNITED STATES DEPARTMENT OF
COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
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09/548,637

04/13/2000

John R Koza

50291.P009

Michael J Mallie
 Blakely Sokoloff Taylor & Zafman LLP
 12400 Wilshire Boulevard
 7th Floor
 Los Angeles, CA 90025

Date Mailed: 06/22/2000



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
John R Koza et al.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/24/2000 WKOROMA 00000050 09548637

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130.00 0P